



Episode 62: Fiduciary Liability with Dan Aronowitz

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In the latest episode of *Revamping Retirement*, Dawn McPherson and Jennifer Doss talk with Dan Aronowitz, president of [Encore Fiduciary](#). The three dive into litigation trends, particularly in navigating the complexities of fiduciary liability and underwriting.

Highlights include:

- An overview of recent trends in retirement plan litigation, emphasizing a shift in the types of cases being filed and the implications for plan sponsors.
- The significance of having a robust fiduciary process and how it can mitigate litigation risks. This includes the choice between active and passive investment options, the importance of monitoring record-keeping and investment fees, and the strategic use of fiduciary insurance.
- A deep dive into fiduciary liability insurance, detailing what it covers, who should have it, and how it can protect plan sponsors from claims of breach of duty.
- Discussion on specific risk factors that can make a retirement plan more susceptible to litigation and how these factors influence the underwriting process for fiduciary liability insurance.

Later, Mike Webb discusses the differences between reporting and disclosure for retirement plan sponsors in this month's *Minute with Mike*.

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