



## Episode 87: What 401(K) Audits Really Mean for Plan Sponsors

In episode 87 of *Revamping Retirement*, CAPTRUST's [Jennifer Doss](#) and [Pete Ruffel](#) explore the realities of 401(k) audits, featuring guest Brad Bartels, partner at [MUN CPAs](#), with decades of experience in the field. The conversation breaks down the purpose of retirement plan audits, emphasizing that they are designed to protect plan participants and support plan sponsors—not to penalize them. Bartels also addresses common misconceptions, outlines what sponsors can expect during the audit process, and highlights frequent findings like late contributions and compensation errors.

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